CASE NO. 2:23-CV-01049-JWH-KES

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Defendants Cox Communications, Inc., CoxCom, LLC, and Cox Communications California, LLC (collectively "Cox") answer Plaintiff Entropic Communications, LLC's ("Entropic" or "Plaintiff") Complaint for Patent Infringement ("Complaint") as follows:

Cox admits that Plaintiff has filed a civil action against Cox purporting to asserted claims for infringement of U.S. Patent No. 8,223,775 (the "'775 Patent"), 8,284,690 (the "'690 Patent"), 8,792,008 (the "'008 Patent"), 9,210,362 (the "'362 Patent"), 9,825,826 (the "826 Patent"), 10,135,682 (the "682 Patent"), 11,381,866 (the "'866 Patent"), and 11,399,206 (the "'206 Patent") (collectively, "the Asserted Patents"). Cox denies that Plaintiff's claims have merit and denies that it has infringed any valid claim of any Asserted Patent. Cox denies the remainder of the allegations of Paragraph 1.

### THE PARTIES<sup>1</sup>

- Cox is without knowledge or information sufficient to form a belief as 2. to the truth of the allegations of Paragraph 2, and therefore denies them.
- 3. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 3 and therefore denies them.
- Cox admits that Cox Communications, Inc. ("Cox Communications") 4. is a privately-owned subsidiary of Cox Enterprises Inc. and is a Delaware Corporation with a principal place of business at 6205 Peachtree Dunwoody Road, Atlanta, Georgia 30328.
- 5. Cox admits that Cox Communications, Inc. ("Cox Communications") has, as its registered agent in Delaware, Corporation Service Company 251 Little Falls Drive, Wilmington, Delaware 19808.
  - Cox admits that CoxCom, LLC ("CoxCom") is a subsidiary of Cox 6.

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<sup>&</sup>lt;sup>1</sup> For ease of reference, the headings from Entropic's Complaint are used herein. Such use is for convenience, and does not indicate that Cox admits or agrees with any text of any headings re-used herein.

- 7. Cox admits that CoxCom has, as its registered agent in California, Corporation Service Company d/b/a CSC Lawyers Incorporating Service Company, located at 2710 Gateway Oaks Dr., Suite 150N, Sacramento, California 95833.
- 8. Cox admits that Cox Communications California, LLC ("Cox California") is a Delaware limited liability company, with a regular and established place of business at 6205 Peachtree Dunwoody Road, Atlanta, Georgia 30328.
- 9. Cox admits that Cox California has, as its registered agent in California, Corporation Service Company d/b/a CSC Lawyers Incorporating Service Company, located at 2710 Gateway Oaks Dr., Suite 150N, Sacramento, California 95833.
- 10. Cox admits that Paragraph 10 purports to quote a document, which speaks for itself and is the best evidence of its contents. Cox denies the remaining allegations in Paragraph 10.
  - 11. Cox denies the allegations of Paragraph 11.
- 12. Cox admits that the website of Cox Enterprises, Inc. speaks for itself and is the best evidence as to its contents. Cox denies the remaining allegations of Paragraph 12.
  - 13. Cox denies the allegations of Paragraph 13.
- 14. "Manager/member" is undefined and so to the extent that Cox understands the allegations in Paragraph 14, Cox admits that CoxCom is a subsidiary of Cox Communications. Cox is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 14, and therefore denies the remaining allegations.
- 15. "Complete control" is undefined and so to the extent that Cox understands the allegations in Paragraph 15, Cox admits that CoxCom is a

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27 28 subsidiary of Cox Communications. Cox is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 20, and therefore denies the remaining allegations.

- 16. "Manager/member" is undefined and so to the extent that Cox understands the allegations in Paragraph 16, Cox admits that Cox California is a subsidiary of CoxCom. Cox is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 16, and therefore denies the remaining allegations.
- 17. Cox admits that Cox California provides cable services in at least California. Cox denies the remaining allegations in Paragraph 17.
- Cox admits that Paragraph 18 purports to quote a Residential Customer 18. Service Agreement, which document speaks for itself and is the best evidence of its contents. Cox denies the remaining allegations in Paragraph 18.
- Cox admits that Cox Communications, CoxCom, and Cox California share a principal place of business at 6205 Peachtree Dunwoody Road, Atlanta, Georgia 30328. Cox denies the remaining allegations in Paragraph 19.
  - Cox denies the allegations of Paragraph 20. 20.

### **PRESUIT DISCUSSIONS**

Cox denies that the intended recipient received the communication that 21. Plaintiff purports to have sent by physical means to Cox on August 9, 2022. Cox denies that the intended recipient received the communications that Plaintiff purports to have sent by physical means to Cox on December 23, 2022. Cox admits that a communication was sent by electronic means on January 2, 2023. Cox admits that it has not responded to the August 9, 2022, or December 23, 2022, or January 2, 2023, communications. Cox denies the remainder of the allegations in Paragraph 21.

# ENTROPIC'S LEGACY AS A CABLE INNOVATOR

22. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 22, and therefore denies them.

- 23. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 23, and therefore denies them.
- 24. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 24, and therefore denies them.
- 25. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 25, and therefore denies them.
- 26. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 26, and therefore denies them.
- 27. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 27, and therefore denies them.
- 28. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 28, and therefore denies them.
- 29. Cox admits that Entropic filed a patent infringement suit against Charter Communications, Inc. ("Charter") in the Eastern District of Texas, Case No. 2:22-CV-00125-JRG, on April 27, 2022, asserting the '775 Patent, the '690 Patent, the '008 Patent, the '362 Patent, the '826 Patent, and the '682 Patent against Charter's provision of cable television and internet services, cable modem products and STBs. Cox denies the remaining allegations in Paragraph 29.
  - 30. Cox denies the allegations of Paragraph 30.
  - 31. Cox denies the allegations of Paragraph 31.
  - 32. Cox denies the allegations of Paragraph 32.
  - 33. Cox denies the allegations of Paragraph 33.
  - 34. Cox denies the allegations of Paragraph 34.

# JURISDICTION AND VENUE

35. Cox admits that Plaintiff purports to state a claim for patent infringement under the patent laws of the United States, but denies that Plaintiff is entitled to any relief for such claim. Cox denies all remaining allegations in Paragraph 35.

- 36. Cox admits that venue is proper in this Court for purposes of this action and admits that it has transacted business in this District for purposes of this action. Cox denies that it has committed any act of patent infringement allegedly described in the Complaint. Cox denies all remaining allegations in Paragraph 36.
- 37. Cox denies that this Court has general personal jurisdiction over Cox. Cox admits that it provides cable television, internet, and phone services to businesses and residents in California. Cox denies all remaining allegations contained in Paragraph 37.
- 38. Cox admits the presence of a Cox facility at 27121 Towne Centre Drive, Foothill Ranch, California 92610. Cox denies all remaining allegations contained in Paragraph 38.
- 39. Cox admits for purposes of this action that this Court has personal jurisdiction over Cox. Cox denies all remaining allegations contained in Paragraph 39.
- 40. Cox admits that it has provided certain telecommunications services in portions of the United States and that Cox operates and maintains a network, involving various Cox branded products and services, although Cox denies that any of its services infringe any Asserted Patents. Cox admits that subscribers to certain of Cox's services receive either receivers or set top boxes within this District. Cox denies all remaining allegations contained in Paragraph 40.
  - 41. Cox denies the allegations of Paragraph 41.
- 42. Cox admits that it operates one or more facilities or locations in this District, and that Paragraph 42 appears to summarize information available from Cox's website, which speaks for itself and is the best evidence of its contents. Cox denies the remaining allegations contained in Paragraph 42.
- 43. Cox admits that Paragraph 43 purports to reference storefronts listed in Cox's website, which speaks for itself and is the best evidence of its contents. Cox denies the allegations contained in Paragraph 43.

- 44. Cox admits that certain Cox entities own and/or lease certain premises where Cox stores are located. Cox denies the remaining allegations of Paragraph 44.
- 45. Cox admits that certain Cox entities employ persons at Cox stores located within this District. Cox denies the remaining allegations of Paragraph 45.
- 46. Cox admits that it has engaged in business at physical places within this District. Cox denies all remaining allegations of Paragraph 46.
- 47. Cox admits that certain Cox entities employ and/or contract with persons to install, service, repair, and/or replace equipment, as appropriate, in this District. Cox denies all remaining allegations of Paragraph 47.
- 48. Cox admits that stores or service centers owned or operated by Cox contain equipment by which services provided by Cox can be delivered. Cox denies the remaining allegations of Paragraph 48.
- 49. Cox admits that Paragraph 49 purports to summarize and/or quote portions of a Cox Communications website, which document speaks for itself and is the best evidence of its contents. Cox denies the remaining allegations of Paragraph 49.
- 50. Cox admits that it has provided certain services and products in this District and in portions of the United States, although Cox denies that any of its services or products infringe any Asserted Patents. Cox denies the remaining allegations of Paragraph 50.
- 51. Cox admits that it will not contest that venue is proper in this Court for purposes of this action. Cox denies all remaining allegations contained in Paragraph 51.
- 52. Cox admits that it has continued to conduct business in this District for purposes of this action. Cox denies all remaining allegations contained in Paragraph 52.

**COUNT I** 1 (Infringement of the '775 Patent) 2 Paragraphs 1-52 of Cox's Answer are incorporated by reference as if 3 53. set forth herein. 4 5 54. Cox admits that the face of the '775 Patent states that it issued on July 17, 2012, from an application filed September 30, 2003. 6 Cox is without knowledge or information sufficient to form a belief as 55. 7 8 to the truth of the allegations of Paragraph 55, and therefore denies them. 9 56. Cox admits that Exhibit 1 to the Complaint purports to be a true and accurate copy of the '775 Patent. Cox denies all remaining allegations in Paragraph 10 56. 11 Cox denies the allegations contained in Paragraph 57. 57. 12 Cox denies that the '775 Patent is valid and enforceable. Cox admits 58. 13 that by its issuance, the '775 Patent is presumed valid under 35 U.S.C. § 282. Cox 14 denies any remaining allegations in Paragraph 58. 15 59. Cox denies the allegations contained in Paragraph 59. 16 Cox denies the allegations contained in Paragraph 60. 60. 17 Cox denies the allegations contained in Paragraph 61. 61. 18 19 62. Cox denies the allegations contained in Paragraph 62. Cox denies the allegations contained in Paragraph 63. 63. 20 Cox denies the allegations contained in Paragraph 64. 21 64. Cox denies the allegations contained in Paragraph 65. 65. 22 Cox denies the allegations contained in Paragraph 66. 23 66. 67. Cox denies the allegations contained in Paragraph 67. 24 Cox denies the allegations contained in Paragraph 68. 68. 25 Cox denies the allegations contained in Paragraph 69. 26 69. 70. Cox denies the allegations contained in Paragraph 70. 27 28 Cox denies the allegations contained in Paragraph 71. 71.

1	72.	Cox denies the allegations contained in Paragraph 72.		
2	73.	Cox denies the allegations contained in Paragraph 73.		
3	74.	Cox denies the allegations contained in Paragraph 74.		
4	75.	Cox denies the allegations contained in Paragraph 75.		
5	76.	Cox denies the allegations contained in Paragraph 76.		
6	77.	Cox denies the allegations contained in Paragraph 77.		
7	78.	Cox is without knowledge or information sufficient to form a belief as		
8	to the truth of the allegations of Paragraph 78, and therefore denies them.			
9	<u>COUNT II</u>			
10	(Infringement of the '690 Patent)			
11	79.	Paragraphs 1-78 of Cox's Answer are incorporated by reference as it		
12	set forth herein.			
13	80.	Cox admits that the face of the '690 Patent states that it issued on		
14	October 9, 2012, from an application filed December 10, 2009, and is related to a			
15	provisional application filed May 19, 2009, and a provisional application filed			
16	December 15, 2008. Cox denies all remaining allegations in Paragraph 80.			
17	81.	Cox is without knowledge or information sufficient to form a belief as		
18	to the truth of the allegations of Paragraph 81, and therefore denies them.			
19	82.	Cox admits that Exhibit 3 to the Complaint purports to be a true and		
20	accurate copy of the '690 Patent. Cox denies all remaining allegations in Paragraph			
21	82.			
22	83.	Cox denies the allegations contained in Paragraph 83.		
23	84.	Cox denies that the '690 Patent is valid and enforceable. Cox admits		
24	that by its issuance, the '690 Patent is presumed valid under 35 U.S.C. § 282. Co.			
25	denies any remaining allegations in Paragraph 84.			
26	85.	Cox denies the allegations contained in Paragraph 85.		
27	86.	Cox denies the allegations contained in Paragraph 86.		
28	87.	Cox denies the allegations contained in Paragraph 87.		
	COX'S AMEN	DED ANSWER, DEFENSES AND COUNTERCLAIMS TO COMPLAINT - 8 -		

provisional application filed September 8, 2011. Cox denies all remaining allegations in Paragraph 106.

107. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 107, and therefore denies them.

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**COUNT IV** 1 (Infringement of the '362 Patent) 2 131. Paragraphs 1-130 of Cox's Answer are incorporated by reference as if 3 set forth herein. 4 5 132. Cox admits that the face of the '362 Patent states that it issued on December 8, 2015, from an application filed February 5, 2015, an application filed 6 August 8, 2013, an application filed April 19, 2010, and is related to a provisional 7 application filed April 17, 2009. Cox denies all remaining allegations in Paragraph 8 9 132. 10 133. Cox is without knowledge or information sufficient to form a belief as to the truth of the allegations of Paragraph 133, and therefore denies them. 11 12 134. Cox admits that Exhibit 7 to the Complaint purports to be a true and 13 accurate copy of the '362 Patent. Cox denies all remaining allegations in Paragraph 134. 14 15 135. Cox denies the allegations contained in Paragraph 135. 16 Cox denies that the '362 Patent is valid and enforceable. Cox admits that by its issuance, the '362 Patent is presumed valid under 35 U.S.C. § 282. Cox 17 denies any remaining allegations in Paragraph 136. 18 19 137. Cox denies the allegations contained in Paragraph 137. Cox denies the allegations contained in Paragraph 138. 20 21 Cox denies the allegations contained in Paragraph 139. Cox denies the allegations contained in Paragraph 140. 22 140. Cox denies the allegations contained in Paragraph 141. 23 141. Cox denies the allegations contained in Paragraph 142. 24 142. Cox denies the allegations contained in Paragraph 143. 25 Cox denies the allegations contained in Paragraph 144. 26

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Cox denies the allegations contained in Paragraph 145.

Cox denies the allegations contained in Paragraph 146.

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accurate copy of the '826 Patent. Cox denies all remaining allegations in Paragraph

that by its issuance, the '826 Patent is presumed valid under 35 U.S.C. § 282. Cox

Cox denies that the '826 Patent is valid and enforceable. Cox admits

161. Cox denies the allegations contained in Paragraph 161.

1	denies any remaining allegations in Paragraph 162.		
2	163.	Cox denies the allegations contained in Paragraph 163.	
3	164.	Cox denies the allegations contained in Paragraph 164.	
4	165.	Cox denies the allegations contained in Paragraph 165.	
5	166.	Cox denies the allegations contained in Paragraph 166.	
6	167.	Cox denies the allegations contained in Paragraph 167.	
7	168.	Cox denies the allegations contained in Paragraph 168.	
8	169.	Cox denies the allegations contained in Paragraph 169.	
9	170.	Cox denies the allegations contained in Paragraph 170.	
10	171.	Cox denies the allegations contained in Paragraph 171.	
11	172.	Cox denies the allegations contained in Paragraph 172.	
12	173.	Cox denies the allegations contained in Paragraph 173.	
13	174.	Cox denies the allegations contained in Paragraph 174.	
14	175.	Cox denies the allegations contained in Paragraph 175.	
15	176.	Cox denies the allegations contained in Paragraph 176.	
16	177.	Cox denies the allegations contained in Paragraph 177.	
17	178.	Cox denies the allegations contained in Paragraph 178.	
18	179.	Cox denies the allegations contained in Paragraph 179.	
19	180.	Cox denies the allegations contained in Paragraph 180.	
20	181.	Cox denies the allegations contained in Paragraph 181.	
21	182.	Cox is without knowledge or information sufficient to form a belief as	
22	to the truth of the allegations of Paragraph 182, and therefore denies them.		
23	<u>COUNT VI</u>		
24	(Infringement of the '682 Patent)		
25	183.	Paragraphs 1-182 of Cox's Answer are incorporated by reference as if	
26	set forth herein.		
27	184.	Cox admits that the face of the '682 Patent states that it issued on	
28	November 20, 2018, from an application filed January 9, 2018, an application filed		

**COUNT VII** 1 (Infringement of the '866 Patent) 2 Paragraphs 1-203 of Cox's Answer are incorporated by reference as if 3 set forth herein. 4 205. Cox admits that the fact of the '866 Patent states that it issued on July 5 5, 2022, from an application filed March 30, 2021, an application filed June 4, 2019, 6 and application filed October 24, 2017, and application filed November 23, 2015, an 7 8 application filed February 10, 2015, an application filed August 8, 2013, an 9 application filed April 19, 2010 and is related to a provisional application filed April 17, 2009. Cox denies all remaining allegations in Paragraph 205. 10 206. Cox is without knowledge or information sufficient to form a belief as 11 to the truth of the allegations of Paragraph 206, and therefore denies them. 12 13 207. Cox admits that Exhibit 13 to the Complaint purports to be a true and accurate copy of the '866 Patent. Cox denies all remaining allegations in Paragraph 14 15 207. Cox denies the allegations contained in Paragraph 208. 16 Cox denies the allegations contained in Paragraph 209. 17 Cox denies the allegations contained in Paragraph 210. 18 19 Cox denies the allegations contained in Paragraph 211. Cox denies the allegations contained in Paragraph 212. 20 212. 21 Cox denies the allegations contained in Paragraph 213. Cox denies the allegations contained in Paragraph 214. 22 Cox denies the allegations contained in Paragraph 215. 23 215. Cox denies the allegations contained in Paragraph 216. 24 216. Cox denies the allegations contained in Paragraph 217. 25 217. Cox denies the allegations contained in Paragraph 218. 26 Cox denies the allegations contained in Paragraph 219. 27 28 Cox denies the allegations contained in Paragraph 220.

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during discovery.

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# **Eleventh Defense**

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# (Lack of Standing)

4 5 261. Plaintiff lacks standing to bring, or maintain, this lawsuit in connection with the Asserted Patents, including to the extent that Plaintiff did not, or does not, hold sufficient exclusionary rights under, or all substantial rights, title, and interest to, any of the Asserted Patents.

To the extent that Plaintiff, its alleged predecessors in interest to the

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### **Twelfth Defense**

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## (Failure to Mark)

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Asserted Patents, and any and all licensees of the Asserted Patents failed to properly mark any of their relevant products as required by 35 U.S.C. § 287 or otherwise give

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proper notice that Cox's actions allegedly infringed the Asserted Patents, Cox is not

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liable to Plaintiff for the acts alleged to have been performed before receiving actual

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# notice that it was allegedly infringing the Asserted Patents.

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# Thirteenth Defense (Failure to Comply with FRAND Terms)

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263. Plaintiff is estopped or contractually barred from demanding relief that is inconsistent with its obligation to offer a license on terms that are fair, reasonable,

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standard essential.

and non-discriminatory, as to any Asserted Patents that are enforceable, valid, and

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## **Fourteenth Defense**

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(Misuse)

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264. Plaintiff has engaged in patent misuse that renders the Asserted Patents unenforceable. Specifically, it has engaged in activities with respect to the patents

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being asserted in this action in a manner that is anticompetitive and improperly

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broadens the scope of the right to enforce the Asserted Patents. Such actions include

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attempting to extend the scope of one or more of the Asserted Patents to permit

- 269. CoxCom, LLC ("CoxCom") is a Delaware limited liability company, with a principal place of business at 6205 Peachtree Dunwoody Road, Atlanta, Georgia 30328.
- 270. Cox Communications California, LLC ("Cox California") is a Delaware limited liability company, with a regular and established place of business at 6205 Peachtree Dunwoody Road, Atlanta, Georgia 30328.
- 271. Counterclaim plaintiffs are Cox Communications, CoxCom and Cox California (collectively, "Cox").
- 272. MaxLinear, Inc. is a Delaware corporation, with a principal place of business at 5966 La Place Court Suite 100, Carlsbad, CA.
- 273. On April 30, 2015, Entropic Communications, Inc. merged with and into Excalibur Subsidiary, LLC (a subsidiary of MaxLinear, Inc.), with the surviving entity being Excalibur Subsidiary, LLC. At the same time, Excalibur Subsidiary, LLC changed its name to Entropic Communications, LLC. On February 20, 2018, Entropic Communications, LLC then changed its name to MaxLinear Communications LLC.
- 274. MaxLinear Communications LLC is an affiliate of MaxLinear, Inc., and is a Delaware limited liability company with a principal place of business at 5966 LA Place Ct Ste 100, Carlsbad, CA 92008. Collectively, MaxLinear, Inc. and MaxLinear Communications LLC are referred to as "MaxLinear."
- 275. Entropic Communications, LLC ("Entropic") is the Plaintiff in this action and is unaffiliated with MaxLinear. The Counterclaim defendants are Entropic, MaxLinear, Inc. and MaxLinear Communications LLC.
- 276. The Court has supplemental jurisdiction over Cox's counterclaims pursuant to 28 U.S.C. § 1367 because, as set forth below, the claims are so related to the claim(s) over which the Court has original jurisdiction that the claims form part of the same case or controversy under Article III of the United States Constitution.
- 277. Entropic filed its Complaint in this matter in this Court, thereby submitting itself to this Court's personal jurisdiction.

- 278. This Court has general personal jurisdiction over MaxLinear because it conducts systematic and regular business within the State of California by, inter alia providing digital, high-performance analog and mixed-signal integrated circuits and software solutions, as well as systems-on-chip solutions used for broadband, mobile and wireline infrastructure, data center, and industrial and multi-market applications.
- 279. Upon information and belief, MaxLinear maintains a regular and established place of business within this district at 50 Parker, Irvine, CA 92618.
- 280. Venue for these counterclaims is proper in this Court pursuant to at least 28 U.S.C. §§ 1391(b)(1) and 1391(c)(2) because Entropic filed its claims against Cox in this federal judicial district.

#### MaxLinear's Involvement in CableLabs and DOCSIS

- 281. Cable Television Laboratories, Inc. ("CableLabs") is a non-profit research and development consortium that is a "leading innovation and R&D lab for the cable industry." CableLabs was formed by, among others, cable companies like Cox that operate multiple cable systems and cable operators continue to be member organizations in CableLabs. CableLabs does not itself deploy, purchase and use cable equipment in multiple cable systems like the cable operators. CableLabs instead evaluates new technologies and develops common specifications for interoperability to help drive the scale of cable equipment and reduce costs for cable operators like Cox and their customers.
- 282. CableLabs develops and publishes various specifications and standards, including the Data Over Cable Service Interface Specification ("DOCSIS"). Multiple entities participate in developing the specifications, which aim to benefit cable operators. For example, the DOCSIS 3.1 specification states that it "is the result of a cooperative effort undertaken at the direction of Cable Television Laboratories, Inc. for the benefit of the cable industry and its customers" and further explains in Section 1.1 that the "specification was developed for the benefit of the cable industry, and

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<sup>&</sup>lt;sup>2</sup> https://www.cablelabs.com/

includes contributions by operators and vendors from North and South America, Europe, China and other regions."

283. Vendors of cable equipment may participate in the development of CableLabs' specifications through a variety of processes.<sup>3</sup> By executing a confidentiality agreement, vendors can access non-public documents, including Draft Specifications, technical papers and documentation, software code, notices, and announcements. Any vendor with interest or expertise can also join the relevant working groups that develop the relevant specifications. As CableLabs explains,

In order to facilitate widespread implementation of CableLabs Specifications, and reduce overall costs for Vendors, Cable Operators, and consumers, CableLabs has established Contribution Agreements (also referred to as IPR Agreements) for each family of CableLabs Specifications—DOCSIS (data), PacketCable (voice), and OpenCable (video). DPoE, EPOC, Metadata, and other projects also have similar Contribution Agreements. The Contribution Agreements provide Technology Vendors with either a royalty-free grant of necessary intellectual property, or a reasonable and non-discriminatory obligation to license necessary intellectual property, from all other Contributors, as the case may be per agreement. Likewise, to participate in the Working Group, a Vendor must make reciprocal commitments to all other Contributors by signing the Contribution Agreement.<sup>4</sup>

284. MaxLinear executed applicable NDAs and a Contribution Agreement in the case of DOCSIS. As a result, MaxLinear received, among other benefits, the ability to see confidential information concerning the draft specifications, participate in the process of developing draft specifications, learn and understand the direction of technology for the cable industry, position its own products and services to market to

<sup>&</sup>lt;sup>3</sup> https://www.cablelabs.com/become-a-vendor/suppliers-how-to-engage#

<sup>&</sup>lt;sup>4</sup> https://www.cablelabs.com/become-a-vendor/suppliers-how-to-engage#

the cable industry, and make, market, and sell its DOCSIS compliant products to the cable industry.

285. The Contribution Agreement in the case of DOCSIS created a royalty-free pool for intellectual property rights essential to the DOCSIS standards. Specifically, companies that have signed a DOCSIS license agreement ("DOCSIS Licensors") granted CableLabs a non-transferable, worldwide, non-exclusive, royalty-free license, with the right to sublicense, to all current and future patents owned by the licensor or its affiliates essential for compliance with DOCSIS specifications. In return, DOCSIS Licensors obtained from CableLabs a non-transferable worldwide, non-exclusive, royalty-free license under all patents CableLabs had the right to license or sublicense to the extent necessary for compliance with the DOCSIS specifications.<sup>5</sup>

286. MaxLinear is one of multiple vendors to have executed a DOCSIS License Agreement, a copy of which is attached as Exhibit A. The DOCSIS License Agreements recite that they were created so that CableLabs could

Agreements recite that they were created so that CableLabs could

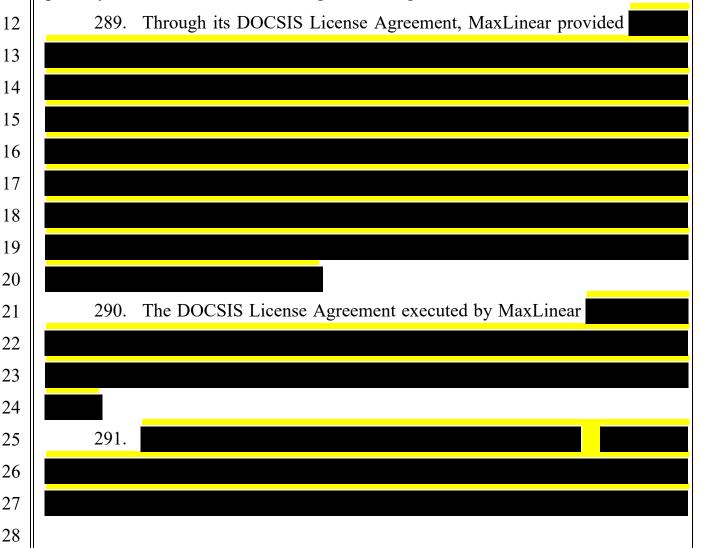
Id.

287. The DOCSIS License Agreements were intended to benefit Cox, which could purchase and use manufactured products compliant with DOCSIS free of any patent claims. Other vendors from which Cox purchases DOCSIS-compliant hardware and software are also DOCSIS Licensors. For example, Cox, or its customers, purchase and use equipment from Samsung Electronics Co., Cisco

<sup>&</sup>lt;sup>5</sup> https://www.cablelabs.com/blog/patents-and-licensing-why-it-matters

Systems, Inc ("Cisco"), and ARRIS Group, Inc., and/or their relevant predecessors and successors. These suppliers are signatories to the DOCSIS License Agreement, as demonstrated in Exhibit B, which is a true and correct copy of CableLabs' list of DOCSIS® IPR Agreement Signatories.

288. Cox's suppliers, in turn, purchase components from other DOCSIS signatories that supply systems on a chip, like Broadcom Corporation or Intel Corporation, who are also signatories to the DOCSIS License Agreement. The equipment Cox obtains or uses from these suppliers and DOCSIS Licensors complies with and/or is certified to comply with DOCSIS Specifications. MaxLinear, Inc. is also listed as a signatory to the DOCSIS License Agreements according to the publicly available DOCSIS® IPR Agreement Signatories, attached as Exhibit B.



292. MaxLinear has made, offered for sale, and sold various equipment for use in the cable industry that are advertised as being and/or are DOCSIS compliant. For instance, MaxLinear's April 26, 2023 form 10-Q filing describes its customers as including "electronics distributors, module makers, original equipment manufacturers, or OEMs, and original design manufacturers, or ODMs, who incorporate the Company's products in a wide range of electronic devices, including cable Data Over Cable Service Interface Specifications (DOCSIS)."

293. By executing the DOCSIS License Agreements, representing itself as a supplier of DOCSIS equipment and therefore a "licensor" to the DOCSIS License Agreements, and/or by promoting DOCSIS compliance of its equipment, MaxLinear obtained the benefit of joining the DOCSIS patent pool, including the ability to develop, market, and sell products that were compatible with DOCSIS specifications.

### The Improper Efforts to Transfer Patents Free of Encumbrances

294. Plaintiff Entropic asserts in its Complaint, at ¶3, that it "is the owner by assignment to all right, title, and interest to the Patents-in-Suit" and "is the successor-in-interest for the Patents-in-Suit."

295. Exhibit C is a true and correct copy of the assignment agreement between MaxLinear, Inc. and Plaintiff Entropic executed on March 31, 2021 and filed with the U.S. Patent Office (hereafter "Plaintiff's First Assignment"). Exhibit C purports to assign to Entropic the '008, '362, '826, and '682 Patents, as well as the continuations of patents assigned therein, including the '206 and '866 Patents. Exhibit C is the last assignment applicable to the above-identified Asserted Patents to have been filed in the U.S. Patent Office before the filing of Plaintiff's Complaint.

296. Exhibit D is a true and correct copy of the assignment agreement between MaxLinear Communications LLC. and Plaintiff Entropic executed on March 31, 2021 and filed with the U.S. Patent Office (hereafter "Plaintiff's Second

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Assignment"). Exhibit D purports to assign the '775 and '690 Patents to Entropic and is the last assignment applicable to the '775 and '690 Asserted Patents to have been filed in the U.S. Patent Office before the filing of Plaintiff's Complaint. 297. Plaintiff is not and, since its formation, has not been, affiliated with

MaxLinear.

298. Before filing its Complaint Plaintiff knew, or should have known, that (a) DOCSIS specifications were relied upon in the cable industry by equipment makers from whom Cox purchased or used equipment; (b) MaxLinear and other DOCSIS equipment suppliers had made contractual commitments to CableLabs and that MaxLinear was bound by relevant DOCSIS License Agreements and (c) that Cox was a beneficiary of the DOCSIS License Agreements.

299. Based on the averments in Plaintiff's Complaint and if Plaintiff's averments are substantiated, one or more of the Asserted Patents are essential to compliance with the DOCSIS specifications. By way of example, Plaintiff's Complaint discusses and describes various items of cable equipment that are DOCSIS compliant, including cable modems and cable modem termination systems. Plaintiff's Complaint further references as exhibits charts that both compare one or more claims within the Asserted Patents to various DOCSIS compliant equipment provided by DOCSIS Licensors like ARRIS, Broadcom, Pace, Samsung and/or Technicolor, as well as reference various aspects of DOCSIS.

300. Plaintiff's averments directly implicate the DOCSIS specifications. For example, the '775 Patent concerns a cable modem system with a cable modem engine including a "DOCSIS controller" and a "DOCSIS MAC processor," each of which are addressed in DOCSIS. Plaintiff's accusations focus on DOCSIS-compliant cable modems, set top boxes, and other equipment as evidenced in Exhibit 2 of Plaintiff's Complaint. The '690 and '682 Patents relate to messaging and communications between a Cable Modem Termination System and a Cable Modem, which is

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addressed in DOCSIS and which items are addressed in Exhibits 4 and 12 of Plaintiff's Complaint.

- 301. Plaintiff's First and Second Assignments concerning the Asserted Patents do not include any provisions assuming the obligations MaxLinear incurred under the DOCSIS License Agreements, including an acknowledgement that MaxLinear previously granted perpetual, royalty free and irrevocable licenses thereunder.
- 302. Plaintiff's First and Second Assignments, in fact, purport to assign "all right, title, and interest" to the relevant Asserted Patents and to make such assignment "free and clear of all liens, claims and encumbrances other than those" Plaintiff "expressly agreed in writing will continue to encumber the Patent Rights after execution and delivery of this Assignment of Patent Rights." See Exhibits C, D.. Plaintiff's First and Second Assignment, however, contains no express, written agreement by Plaintiff as to the continued existence of any encumbrances.
- 303. Plaintiff's First and Second Assignments violate the DOCSIS License Agreement and are void.

## Count I: Breach of Contract by MaxLinear

- 304. Cox realleges and incorporates by reference the foregoing allegations of its counterclaims.
- 305. As discussed above, MaxLinear joined the DOCSIS patent pools and granted CableLabs a non-exclusive, royalty-free license, with the right to sublicense, to all current and future patents owned by it or its affiliates essential for compliance with DOCSIS. MaxLinear's license encompassed each and every one of the Asserted Patents that is essential to compliance with DOCSIS.
- 306. Cox's suppliers of cable equipment who were also DOCSIS Licensors obtained from CableLabs a non-transferable worldwide, non-exclusive, royalty-free license under all patents CableLabs had the right to license or sublicense, including the Asserted Patents, to the extent necessary for compliance with DOCSIS.

307. Pursuant to the DOCSIS License Agreements, Cox is sublicensed to the Asserted Patents to the extent necessary to practice DOCSIS. Alternatively, as purchasers or users of products that comply with the DOCSIS Specifications and are provided by suppliers who are DOCSIS licensors, Cox is an intended third-party beneficiary of the DOCSIS License Agreements. Accordingly, the applicable Asserted Patents, including at least the '775, '690 and '682 patents, are licensed or exhausted such that no action on those patents can be maintained against Cox.

308. MaxLinear breached its contractual obligations under the DOCSIS License Agreement and its obligations to ensure that Cox and other licensees received a perpetual, non-exclusive, irrevocable and royalty free license, such that Cox could operate applicable licensed products free of any claim of infringement based on MaxLinear's licensed patents.

309. MaxLinear has breached its contractual obligations by engaging in at least the following actions: (a) accepting an interest in an outcome of this suit accusing Cox of infringing the Asserted Patents despite knowing of the prior grant of licenses to patents essential or included in DOCSIS; (b) breaching the DOCSIS License Agreements by attempting to assign the Asserted Patents free of any encumbrances; and (c) transferring patents to Entropic without ensuring that Entropic would honor MaxLinear's royalty-free licensing obligations as a purported successor-in-interest, and making such transfers despite knowledge that Entropic aimed to bring suits and/or pursue additional and unlawful payments in violation of MaxLinear's obligations.

310. As a result of these breaches, Cox has been injured in their business or property, and has suffered and will continue to suffer damages.

## **Count II: Tortious Interference with Contract Against Entropic**

311. Cox realleges and incorporates by reference the foregoing allegations of its counterclaims.

- 312. As discussed above, MaxLinear contractually provided an irrevocable, royalty-free and non-exclusive license to CableLabs, with the right to sublicense, any patents, including the Asserted Patents, essential to compliance with DOCSIS. MaxLinear further agreed that its obligations under the relevant agreement could not be transferred and, if so, were void. Cox was and remains a known and intended beneficiary of these promises.
- 313. Entropic was aware of MaxLinear's obligations under the DOCSIS license agreements. Nevertheless, Entropic induced a breach of MaxLinear's contractual obligations by inducing MaxLinear to attempt to assign various patents for Entropic to enforce and for Entropic to seek damages, despite Entropic's awareness that one or more such patents may be essential to compliance with DOCSIS. As a result of Entropic's actions, MaxLinear breached its contractual obligations.
- 314. As a result of Entropic's inducement of these breaches, Cox has been injured in their business or property, and has suffered and will continue to suffer damages.

### Count III: Alternative Claim of Unjust Enrichment Against MaxLinear

- 315. Cox realleges and incorporates by reference the foregoing allegations of its counterclaims.
- 316. As discussed above, MaxLinear received multiple benefits from participating in DOCSIS development and interacting with CableLabs, cable industry and cable operators like Cox. MaxLinear was able, among other benefits, to develop, deploy, market, make, and sell various DOCSIS compliant equipment to the cable industry free of any claim of infringement by other vendors via the DOCSIS License Agreements.
- 317. MaxLinear also received the ability to learn of draft and actual DOCSIS specifications, the future progress of cable industry technology and the potential innovations important to the cable industry. These benefits further assisted

MaxLinear in developing, securing and ultimately monetizing patents, including the Asserted Patents.

- 318. MaxLinear received these benefits at Cox's expense. Cox understood and expected that a DOCSIS licensor such as MaxLinear would have ensured that Cox could deploy, use and sell DOCSIS-compliant equipment from other DOCSIS vendors free of any claim of patent infringement relating to MaxLinear's relevant patents that are essential to DOCSIS. By instead transferring the Asserted Patents to Plaintiff, MaxLinear has created additional expense and uncertainty for Cox and its business.
- 319. Equity and good conscience accordingly demands that MaxLinear disgorge and provide restitution of any and all monies and profits from MaxLinear's unjust enrichment.

### PRAYER FOR RELIEF

Wherefore, Cox respectfully asks this Court for the following relief:

- A. That the Complaint against Cox be dismissed in its entirety with prejudice;
- B. That this Court find that all claims of the Asserted Patents that Plaintiff has asserted or will properly assert against Cox are invalid and patent ineligible pursuant to Title 35 of the United States Code;
- C. That this Court find that Cox has not infringed and does not infringe, in any manner, any valid and enforceable asserted claim of any Asserted Patent;
- D. That this Court find the asserted claims of the Asserted Patents unenforceable against Cox;
- E. That Plaintiff take nothing by reason of its Complaint;

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1	F.	That MaxLinear breached its contractual obligations and/or was
2		unjustly enriched such that this Court shall award Cox appropriate
3		damages and equitable relief;
4	G.	That Entropic induced and intentionally interfered with
5		contractual relations and award Cox appropriate damages and
6		equitable relief;
7	H.	That this Court find that this is an exceptional case under 35
8		U.S.C. § 285 and award Cox its reasonable attorneys' fees,
9		expenses, and costs incurred in this action; and
10	I.	Any such other relief as the Court deems to be just and proper.
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